

12/29/00

JC490 U.S. PTO

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 9-13528-122US |
| First Inventor | KLAPPHOLZ, Nicole |
| Title | METHODS AND SYSTEM FOR TIME MANAGEMENT |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **24**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. Oath or Declaration [Total Pages **1**]
 - a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

| | | | | | |
|---------|-----------------------|-----------|--------------|----------|--------------|
| Name | Swabey Ogilvy Renault | | | | |
| Address | | | | | |
| City | | State | | Zip Code | |
| Country | | Telephone | 613-230-6072 | Fax | 613-230-6706 |

| | | | |
|-------------------|-------------|-----------------------------------|------------|
| Name (Print/Type) | Max R. Wood | Registration No. (Attorney/Agent) | 40,388 |
| Signature | | Date | 12 29 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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09/750217
12/29/00

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FEE TRANSMITTAL for FY 2001

Potent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**998**

Complete if Known

Application Number
Filing Date
First Named Inventor **KLAPPHOLZ, Nicole**
Examiner Name
Group Art Unit
Attorney Docket No. **9-13528-122US**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-5113**
Deposit Account Name **Swabey Ogilvy Renault**

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|------------|
| 101 710 | 201 355 | Utility filing fee | 710 |
| 106 320 | 208 160 | Design filing fee | |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)**710.00**

2. EXTRA CLAIM FEES

Total Claims **36** - 20** = **16** X **18** = **288**
Independent Claims **3** - 3** = **0** X **0** = **0**
Multiple Dependent **0** = **0**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 103 18 | 203 9 | Claims in excess of 20 | |
| 102 80 | 202 40 | Independent claims in excess of 3 | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | |
| 109 80 | 209 40 | ** Reissue independent claims over original patent | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)**288**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,620 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 390 | 216 195 | Extension for reply within second month | |
| 117 890 | 217 445 | Extension for reply within third month | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 440 | 243 220 | Design issue fee | |
| 144 600 | 244 300 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 240 | 126 240 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

SUBMITTED BY

Name (Print/Type) **Max R. Wood**

Registration No. (Attorney/Agent) **40,388**

Telephone **613-230-6072**

Signature 

Date **12 29 2000**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**SWABEY
OGILVY RENAULT**

S.E.N.C.

PATENT AND TRADE MARK AGENTS

Ottawa,
December 29, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231
U. S. A.

Sir:

Re: New United States Patent Application
Title: METHODS AND SYSTEM FOR TIME
MANAGEMENT IN A SHARED MEMORY
PARALLEL PROCESSOR COMPUTING
ENVIRONMENT
Inventor: KLAPPHOLZ, Nicole
Assignee: Nortel Networks Limited
Our File: 9-13528-122US MRW:lf

Transmitted herewith for filing is the patent application of:

INVENTOR: KLAPPHOLZ, Nicole

TITLE OF THE INVENTION:
METHODS AND SYSTEM FOR TIME
MANAGEMENT IN A SHARED MEMORY
PARALLEL PROCESSOR COMPUTING
ENVIRONMENT

The following documents are enclosed:

- a) Utility Patent Application Transmittal PTO/SB/05
- b) Specification/Claims/Abstract
- c) Formal Drawings (6 Sheets - FIGs. 1-7b)
- d) Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)

ROBERT MITCHELL
GUY J. HOULE
PAUL MARCOUX
KEVIN P. MURPHY
JOHN D. MILLER
ROBERT CARRIER
JUAN M. VAN ZANT
MICHEL SOFIA
FRANCE COTE
CELINE TRUMULAY
MAX R. WOOD
JAMES ANGLEHART
PAUL J. FIELD
PATRICIA SECUN
WAYNE VAN
FRANÇOIS NADEAU
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Administrators
JAMES A. FRASER

ALAN SWABEY (1909-1990)
MELVIN SIEER (1935-1995)

A FIRM IN WHICH SWABEY, MITCHELL,
HOULE, MARCOUX & SIEER AND
THE LAW FIRM OGILVY RENAULT
ARE PARTNERS

MONTREAL OTTAWA TORONTO QUEBEC
45 O'CONNOR STREET, SUITE 1700, OTTAWA, ONTARIO, CANADA K1P 1A4
TELEPHONE (613) 230-6072 FACSIMILE (613) 230-6706

- | | |
|-------------------------------------|-----------------|
| Filing Fee: | \$710.00 |
| Total Number of Claims: 36 | |
| Claims in excess of 20 (16 @ \$18): | <u>\$288.00</u> |
| Total: | \$998.00 |

The applicant/inventors qualify for large entity status.

Respectfully submitted,

MRW:lf
Encls.

#4

PTO/9B/39 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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REQUEST AND CERTIFICATION

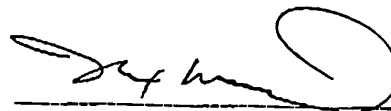
**UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | |
|----------------------|---|
| First Named Inventor | KLAPPHOLZ, Nicole |
| Title | METHODS AND SYSTEM FOR TIME MANAGEMENT IN A SHARED MEMORY PARALLEL PROCESSOR COMPUTING ENVIRONMENT |
| Atty Docket Number | 9-13528-122US |

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12 29 2000

Date



Signature

Max R. Wood, Reg. No. 40,388

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(e). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 8 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

09/06/2004 14:26:24

JC490 U.S. PTO
09/750217**PINO & ASSOCIATES, LLP**

Attorneys at Law
Westchester Financial Center
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White Plains, New York 10606
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Facsimile: (914) 946-0650
www.pinolaw.com

FACSIMILE

TO: Mr. Paul Pross
Patent Providers

FROM: Frank J. Ciano, Esq.

FAX NO.: (703) 415-2520

PAGES: 3
(Including Cover Page)

DATE: December 29, 2000

RE: Miscellaneous Marzocchi Matters
P & A Ref: FJC/9198

MESSAGE:

ORIGINAL SENT BY MAIL: Yes ☐ No ☒

Please contact us immediately if you do
not receive all pages
(914) 946-0600

PINO & ASSOCIATES, LLP**ATTORNEYS AT LAW**

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Frank C. Randazzo
William E. Marsala**
Peter P. Mazzetti, Jr.**
Richard T. Petrillo
Jeffrey C. Brohel
Joanna Roberto*
Vincent C. Ansaldi*

December 29, 2000

Also Admitted to CT Bar *
Also Admitted to NJ Bar **

VIA FACSIMILE

Mr. Paul Pross
Patent Providers
2001 Jefferson Davis, Suite 302
Arlington, Virginia 22202

Re: Miscellaneous Marzocchi Matters
P & A Ref: FJC/9198

Dear Mr. Pross:

Please conduct a search and provide me copies of any and all patents which were assigned to the following companies relating to the bicycle, motorcycle or automotive fields:

1. RockShox
2. Answer Products Inc. (Answer)
3. Manitou
4. Rapid Suspension Technology (RST)
5. Mozo
6. Cannondale
7. Fox
8. White Brothers

According to my understanding, an assignee search is \$25.00 per company (therefore totaling \$200) plus \$3 for a copy of each patent located.

In addition, please search for patents issued to the following inventors relating to the bicycle, motorcycle or automotive fields: Bradbury, Turner, Englund. Please advise of the additional charge regarding this search before you begin.

PINO & ASSOCIATES, LLP

Mr. Paul Pross
December 29, 2000
Page 2

Please note that we are already in possession of the following patents and request that you do not provide additional copies:

| | | |
|-------------|-----------|-----------|
| 372.214 Des | 5.186.481 | 5.704.626 |
| 417.416 Des | 5.195.766 | 5.749.590 |
| 424.478 Des | 5.328.196 | 5.775.677 |
| 4.367.882 | 5.346.236 | 5.782.313 |
| 4.422.661 | 5.380.026 | 5.848.675 |
| 4.511.156 | 5.417.446 | 5.860.665 |
| 4.524.844 | 5.431.426 | 5.924.714 |
| 4.533.153 | 5.449.188 | 5.934.697 |
| 4.542.910 | 5.494.302 | 6.007.056 |
| 4.571.534 | 5.509.674 | 6.017.047 |
| 4.572.534 | 5.509.675 | 6.024.370 |
| 4.609.202 | 5.511.811 | 6.036.212 |
| 4.625.985 | 5.628.496 | 6.073.736 |
| 4.735.276 | 5.634.652 | 6.086.060 |
| 5.094.324 | 5.658.001 | 6.095.541 |
| 5.094.326 | 5.702.092 | 6.095.542 |

Thank you for your attention. If you have any questions, please do not hesitate to contact me.

Sincerely yours,

Frank J. Ciano/df

Frank J. Ciano

FJC/ac

cc: Mr. Alessandro Volta
Mr. Bryson Martin